Simulink Model Architecture and Modeling Patterns for ISO 26262 Compliance

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ISO 26262 “Road Vehicles - Functional Safety”

- ISO 26262 is a functional safety standard for road vehicles

- MathWorks has seen an increased interest in ISO 26262 compliant workflows
  - Increase in System Complexity
  - Demand from ADAS and AD related applications

- ISO 26262 facilitates modern software engineering concepts such as
  - Model-Based Design
Challenges with ISO 26262

- Do I have an ISO 26262 compliant workflow?
- How to efficiently reach unit testing coverage criteria?
- How to achieve Freedom from Interference?
- Can we use AUTOSAR and meet ISO 26262 at the same time?
- Is Simulink suitable for use for ISO 26262?
ISO 26262-6:2018 notes Simulink and Stateflow as Suitable for Software Architecture, Design and as basis for Code Generation

Table 5 — Notations for software unit design

<table>
<thead>
<tr>
<th>Notations</th>
<th>ASIL A</th>
<th>ASIL B</th>
<th>ASIL C</th>
<th>ASIL D</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a Natural language(^a)</td>
<td>++</td>
<td>++</td>
<td>++</td>
<td>++</td>
</tr>
<tr>
<td>1b Informal notations</td>
<td>++</td>
<td>++</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>1c Semi-formal notations(^b)</td>
<td>+</td>
<td>+</td>
<td>++</td>
<td>++</td>
</tr>
<tr>
<td>1d Formal notations</td>
<td>+</td>
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</tbody>
</table>

\(^a\) Natural language can complement the use of notations for example where some topics are more readily expressed in natural language or provide an explanation and rationale for decisions captured in the notations.

EXAMPLE To avoid possible ambiguity of natural language when designing complex elements, a combination of an activity diagram with natural language can be used.

\(^b\) Semi-formal notations can include pseudocode or modelling with UML®, SysML®, Simulink® or Stateflow®.

NOTE UML®, SysML®, Simulink® and Stateflow® are examples of suitable products available commercially. This information is given for the convenience of users of this document and does not constitute an endorsement by ISO of these products.

NOTE In the case of model-based development with automatic code generation, the methods for representing the software unit design are applied to the model which serves as the basis for the code generation.

Table 2 Software Architecture Design Notations has similar suitability wording for use of Simulink and Stateflow.
MathWorks Support

- IEC Certification Kit
  - Model-Based Design Reference Workflow
    - Proven in use
  - Tool Qualification Package
    - Software Tool Criteria Evaluation Report
    - Software Tool Qualification
    - Tool Validation Suite

"Without Model-Based Design, we would have needed at least 30% more time to develop and certify the ESCL application software. We saved time and effort by generating efficient code that satisfied all our speed and memory requirements."

— Cheng Hui, platform and process manager, KOSTAL
MathWorks Support

ISO 26262 Consulting Services

- Process establishment
  - Development Processes
  - Verification process
  - Gap analysis

- Tool qualification support
  - Analyze customer specific tools
  - Provide guidance on tool qualification activities
Certification Kit and Consulting Services

- Certification Kit maps to ISO 26262.
  - Focuses on high level workflow
  - Allows user to decide implementation details
- MathWorks Consulting Services
  - Focuses on customizing implementation
  - Provide recommendations
Through numerous engagements, we have found a set of common Simulink model architecture related Best Practices.

- Best Practices assume a “top down” code generation approach.

- Example modeling patterns shown are an “enablers” to meeting ISO 26262.
Best Practices

- Architecture
- Signal Routing
- Data Definition
- Code Generation Configuration

- Modeling Best Practice for ISO 26262
  - Paper
  - Please request [www.mathworks.com/services/consulting/contact.html](http://www.mathworks.com/services/consulting/contact.html)
Use Model Metrics to Monitor Unit Complexity

Architecture

- **Issues:**
  - Model verification gets increasingly difficult
  - Unable to efficiently achieve unit coverage

- **Best Practice:**
  - Define complexity metrics
    - Number of I/O
    - Reusable libraries
    - Cyclomatic complexity (<=30)*
    - Number of elements (<500)*
    - …etc.
  - Monitor coverage metrics using Continuous Integration tools.

- **Reference:**
  - *Paper: Model Quality Objectives*
    - Authors: Jérôme Bouquet(Renault), Stéphane Faure(Valeo), Florent Fève(Valeo), Ursula Garcia(Bosch), François Guérin(MathWorks), Thierry Hubert(PSA), Florian Levy(Renault), Stéphane Louvet(Bosch), Patrick Munier(MathWorks), Pierre-Nicolas Paton(Delphi), Alain Spiewek(Delphi), and Yves Touzeau(Renault)
Use Model Reference for Unit Level Model
Simulink Architecture

- **Issues:**
  - Poor modularity of algorithm (reuse)
  - Unable to perform unit level testing
  - Configuration Management difficulties
  - Unable to achieve Freedom from Interference

- **Best Practice**
  - Use Model Reference for unit level model
  - Group units to form functional hierarchy (features/components) with virtual Subsystems
Split ASIL and QM Levels at Top Level of Control Model

Simulink Architecture

- **Issues:**
  - Difficulty in achieving Freedom from Interference
  - Complexity in code integration

- **Best Practice:**
  - Code generation should be done at as high as level as possible.

<table>
<thead>
<tr>
<th>Model Hierarchy</th>
<th>Modeling Pattern</th>
</tr>
</thead>
<tbody>
<tr>
<td>Top level (ASIL / QM)</td>
<td>Model Reference</td>
</tr>
<tr>
<td>Integration</td>
<td>Subsystem (multiple layers)</td>
</tr>
<tr>
<td>Unit</td>
<td>Model Reference</td>
</tr>
</tbody>
</table>
Data Protection Between ASIL and QM Levels

Code Generation Configuration

- Issues:
  - How to provide signal protection between ASIL and QM functions?
Data Protection Between ASIL and QM Levels

Code Generation Configuration

- **Issues:**
  - How to provide signal protection between ASIL and QM functions?

- **Best Practice**
  - Use Get/Set storage class for signals between ASIL and QM levels
**Design Bus Hierarchy**

**Signal Routing**

- **Issues:**
  - Inefficient bus segmentation
  - Inconsistent bus grouping by developers
  - Modeling difficulty from splitting and recreating bus signals

- **Best Practice:**
  - Bus hierarchy should be designed as a function of ASIL levels, QM, and rates at a minimum.
AUTOSAR Implications

- Best Practices shown are compatible to AUTOSAR

- Many of the configuration and customization can be implemented within an AUTOSAR architecture

- AUTOSAR addresses some of the issues listed above. For example:
  - Get/Set function would be implemented using Send/Receiver port with RTE protection

- Reference Workflow shown in IEC Certification Kit supports AUTOSAR architecture
Summary

- Best Practice based on Consulting Engagements
- Modeling Best Practice for ISO 26262
  - Use Model Reference for Unit Level Model
  - Split ASIL and QM Levels at Top Level of Model
  - Eliminate Algorithm Content at Integration Level
  - Use Model Metrics to Monitor Unit Complexity
  - Pass Only Used Signal into Unit
  - Design Bus Hierarchy
  - Modeling Construct for Data
  - Data Protection Between ASIL and QM Levels
  - Partition Different ASIL levels and QM to Separate Memory Section
  - Use Different Name Token for Shared Utility
  - AUTOSAR Implications

- Paper
  - Please request via [www.mathworks.com/services/consulting/contact.html](http://www.mathworks.com/services/consulting/contact.html)

- ISO 26262 booth